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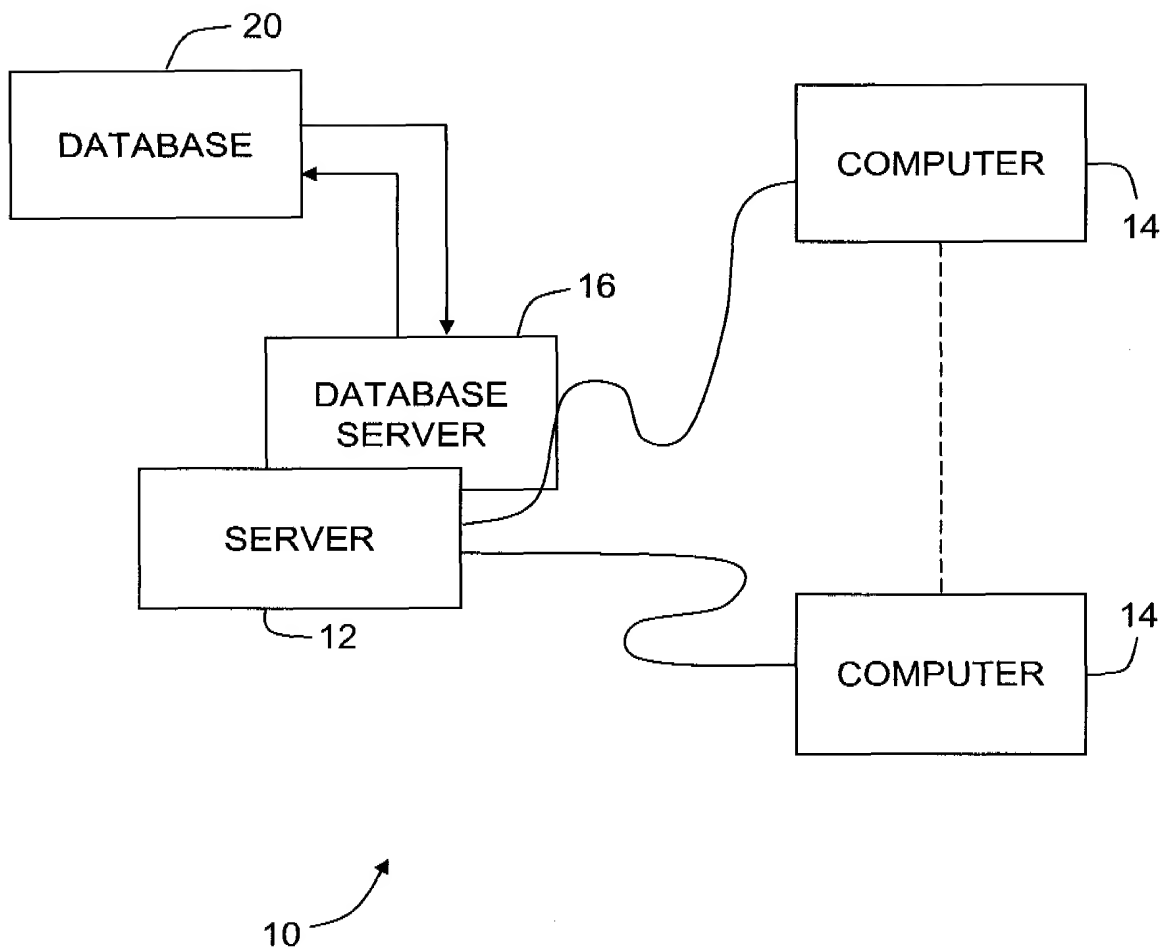


FIG. 1

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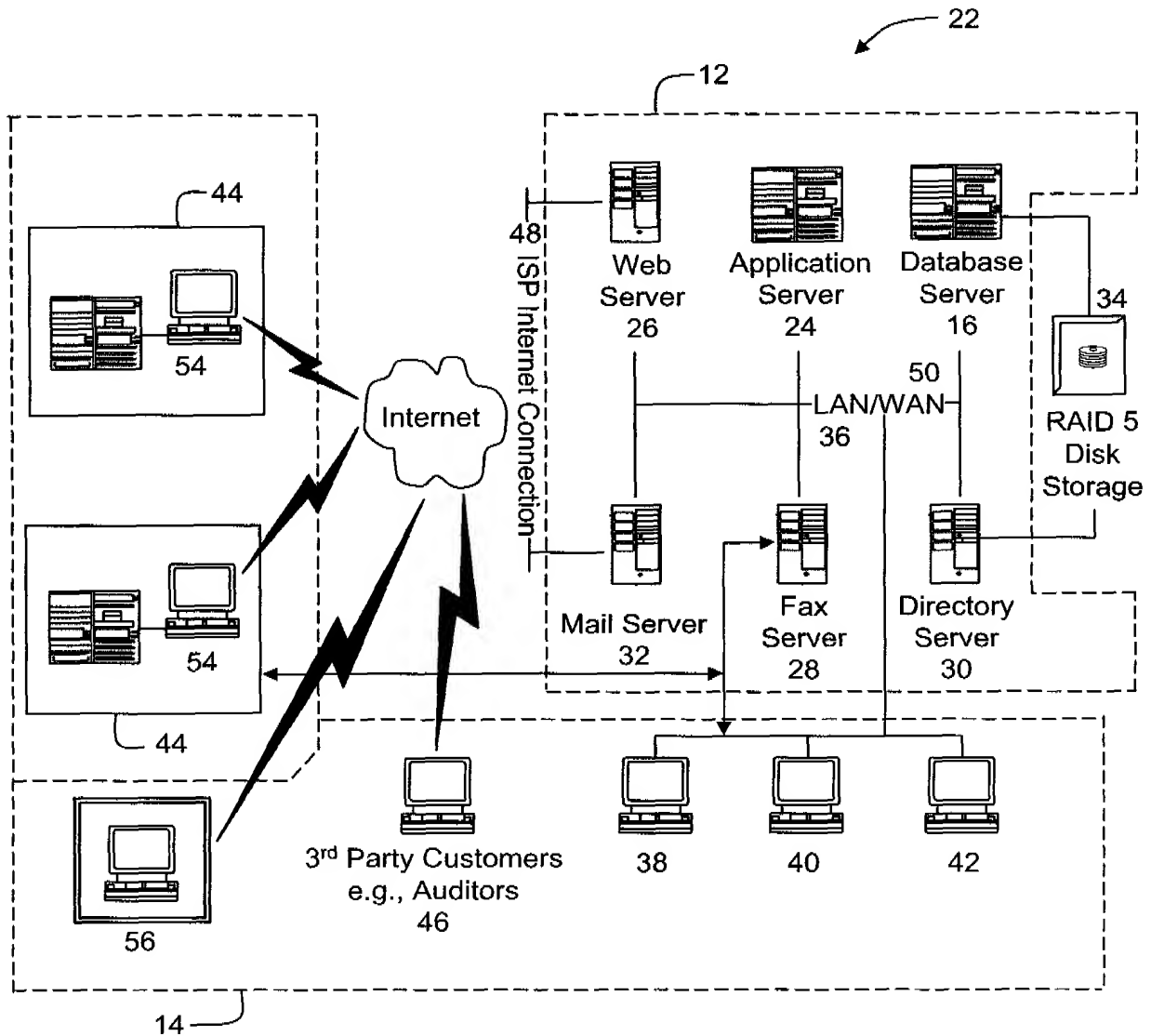


FIG. 2

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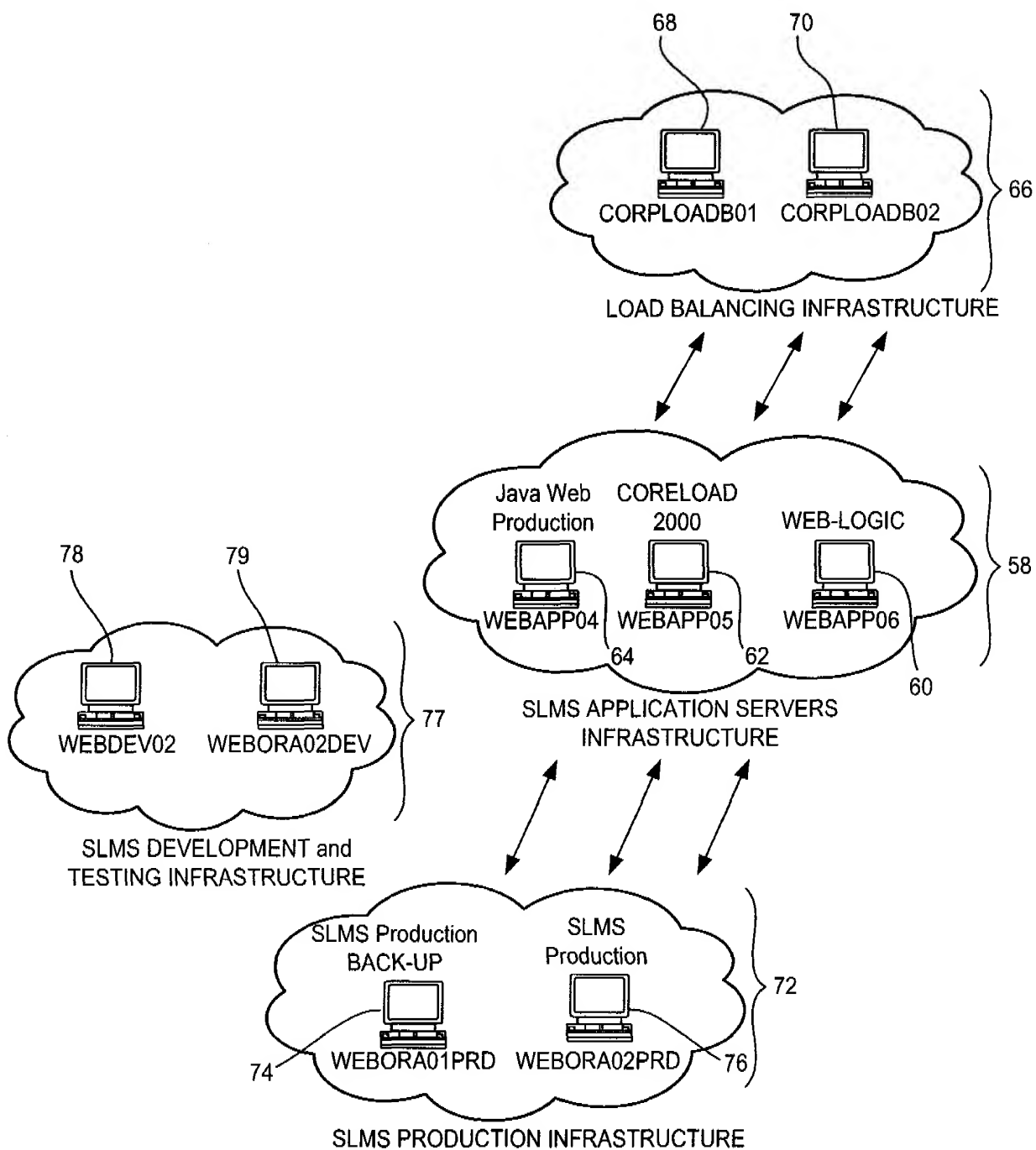


FIG. 3

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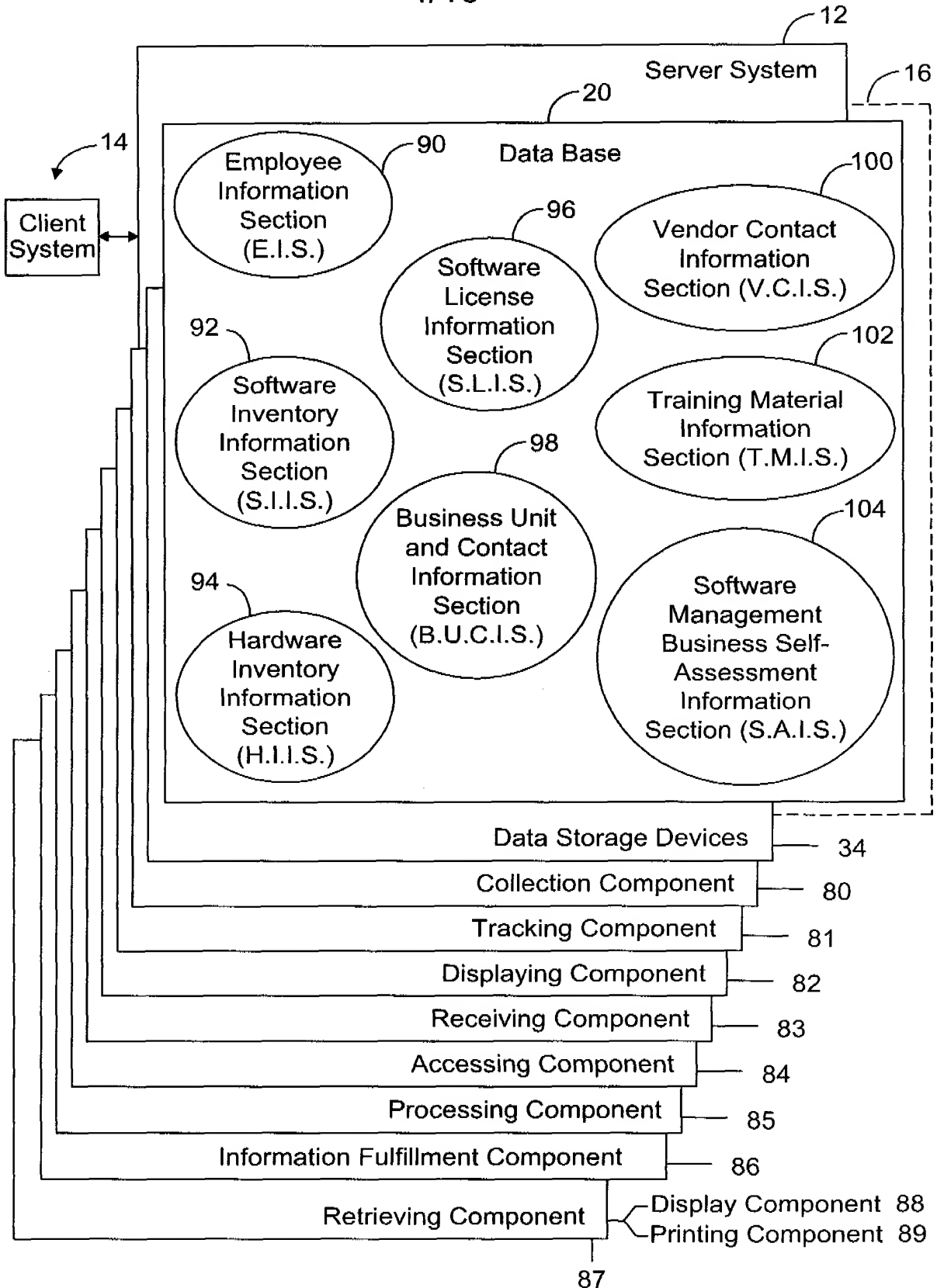


FIG. 4

- REPLACEMENT SHEET -

Title: WEB-BASED SYSTEM FOR MANAGING SOFTWARE ASSETS  
 Inventor(s): Peterson et al. S/N: 09/705,110 Docket No.: 60709-00016  
 Atty: Daniel M. Fitzgerald; Phone: (314) 621-5070

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<b>Employee Information</b> <b>Section 90</b> <ul style="list-style-type: none"> <li>- Last Name 106</li> <li>- First Name 108</li> <li>- Middle Initial 110</li> <li>- Status 112</li> <li>- Building 114</li> <li>- Room Code 116</li> <li>- Work Phone Number 120</li> <li>- User ID 124</li> <li>- E-Mail Address 126</li> <li>- FAX Number 130</li> <li>- Manager's Name 134</li> <li>- Department Name 136</li> <li>- Department Code 140</li> <li>- Payroll Number 144</li> <li>- Social Security Number 146</li> <li>- Pay Cycle 150</li> <li>- Permanent Exempt 152</li> <li>- Permanent Non-Exempt 154</li> <li>- Temporary Exempt 156</li> <li>- Temporary Non-Exempt 158</li> <li>- Hourly Employee 160</li> <li>- Contractor 162</li> <li>- Variable Worker 164</li> </ul>	<b>Hardware Inventory</b> <b>Information Section 94</b> <ul style="list-style-type: none"> <li>- Hardware Serial Number 204</li> <li>- Hardware Assignment and Date 206</li> <li>- Manufacturer Name 208</li> <li>- Manufacturer Address 210</li> <li>- Warranty Information 212</li> <li>- Hardware Specifications 218</li> <li>- Acquisition Date 220</li> <li>- Desktop Unit 222</li> <li>- Laptop Unit 226</li> <li>- Lease Information 230</li> <li>- Legal Ownership 236</li> </ul>	<b>Vendor Contact</b> <b>Information Section 100</b> <ul style="list-style-type: none"> <li>- Vendor Name 298</li> <li>- Vendor Business Address 300</li> <li>- City 302</li> <li>- State 304</li> <li>- Zip Code 306</li> <li>- Status Preferred 308</li> <li>- Status Non-Preferred 310</li> <li>- Quality Rating 312</li> <li>- Customer Service Rating 314</li> <li>- Contact Person 316</li> <li>- Payment Terms 320</li> <li>- Vendor Relationships 322</li> </ul>
<b>Software Inventory</b> <b>Information Section 92</b> <ul style="list-style-type: none"> <li>- Software Title 170</li> <li>- Software Serial Number 174</li> <li>- Software Manufacturer 178</li> <li>- Software Description 180</li> <li>- Software Acquisition Date 184</li> <li>- Software Limitations 188</li> <li>- Employee Desktop Installation 190</li> <li>- Proof of purchase 192</li> <li>- Function Comments 196</li> <li>- Legal Ownership 200</li> </ul>	<b>Software Licenses</b> <b>Information Section 96</b> <ul style="list-style-type: none"> <li>- Software Title 240</li> <li>- Serial Number 242</li> <li>- Manufacturer 244</li> <li>- Description 246</li> <li>- License Date 250</li> <li>- Restrictions &amp; Limitations 252</li> <li>- Employee Desktop Installation 254</li> <li>- Contract Licensing Details 258</li> <li>- Function Comments 260</li> <li>- Valid License Details 264</li> </ul>	<b>Training Material</b> <b>Information Section 102</b> <ul style="list-style-type: none"> <li>- General Materials 324</li> <li>- Purchased Software 326</li> <li>- Licensed Software 328</li> <li>- Obtain Materials 330</li> </ul>
	<b>Business Unit and Contact</b> <b>Information Section 98</b> <ul style="list-style-type: none"> <li>- Department Title 270</li> <li>- Manager Name 274</li> <li>- Manager Social Security Number 276</li> <li>- Manager Mail Stop Code 278</li> <li>- Manager Phone Number 280</li> <li>- Contact Person Name 282</li> <li>- Phone Number 284</li> <li>- Mail Code 286</li> <li>- Address 288</li> <li>- FAX Number 290</li> <li>- Relevant Information 294</li> </ul>	<b>Software Management</b> <b>Business Self-Assessment</b> <b>Information Section 104</b> <ul style="list-style-type: none"> <li>- Self-Assessment Responses 332</li> <li>- Set of Questions 334</li> <li>- Audit Responses 336</li> <li>- Self Assessment Comments 338</li> <li>- Audit Committee Comments 340</li> <li>- Business Unit Name 344</li> <li>- Location 346</li> <li>- Completed Self-Assessment 350</li> <li>- Software Management Sigma Value 354</li> <li>- Self-Assessment 356</li> <li>- Independent Audit 358</li> </ul>

FIG. 5

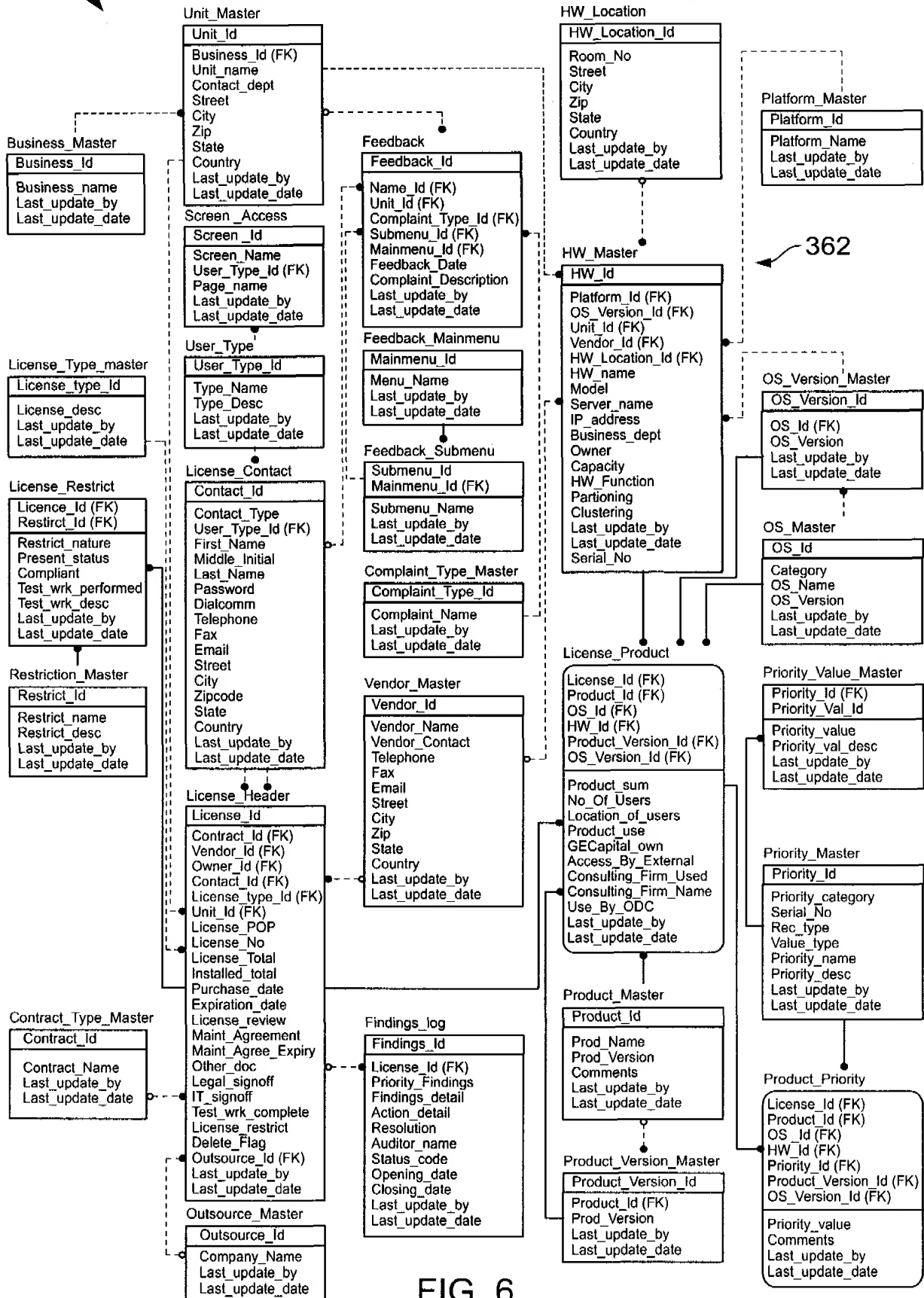
- REPLACEMENT SHEET -

Title: WEB-BASED SYSTEM FOR MANAGING SOFTWARE ASSETS  
 Inventor(s): Peterson et al. S/N: 09/705,110 Docket No.: 60709-00016  
 Atty: Daniel M. Fitzgerald; Phone: (314) 621-5070

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**FIG. 6**

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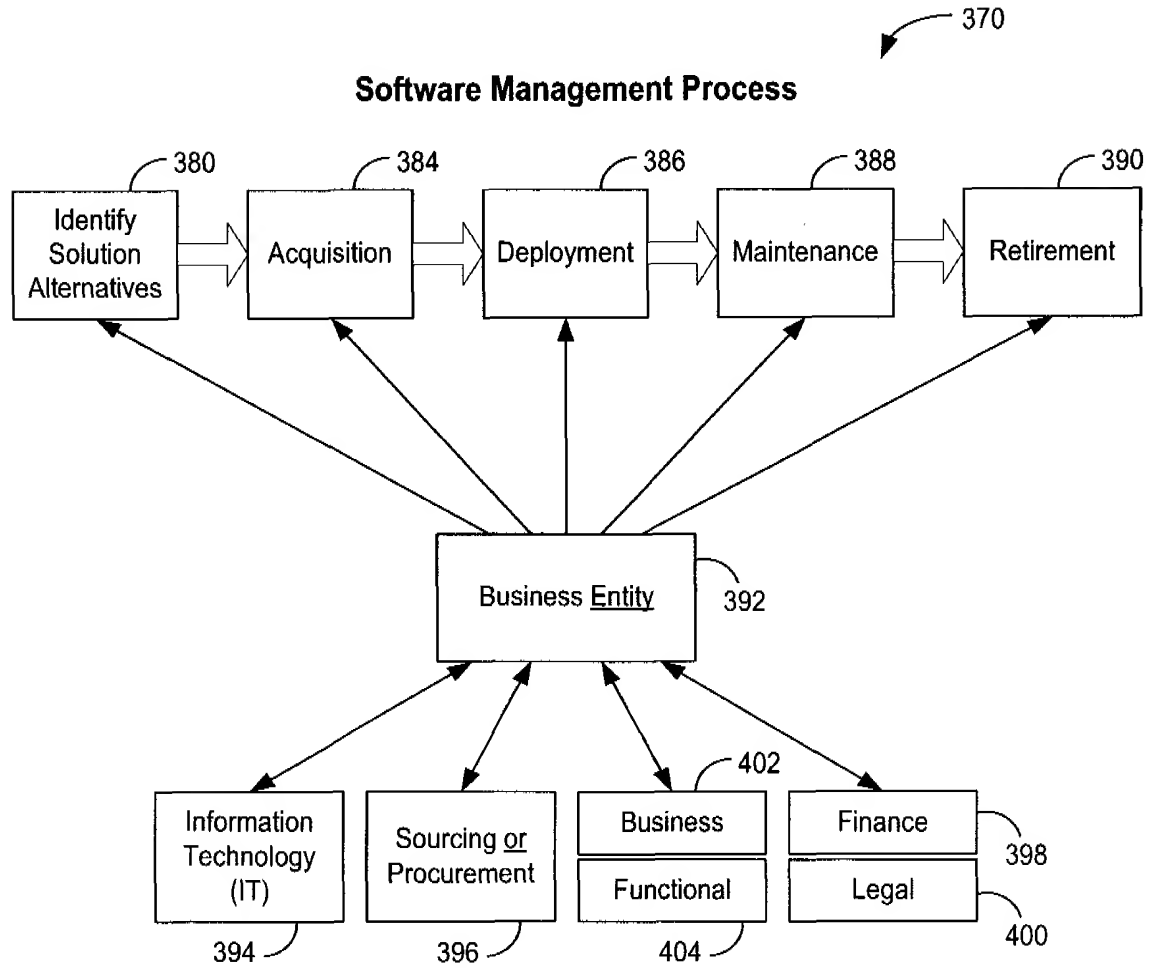


FIG. 7

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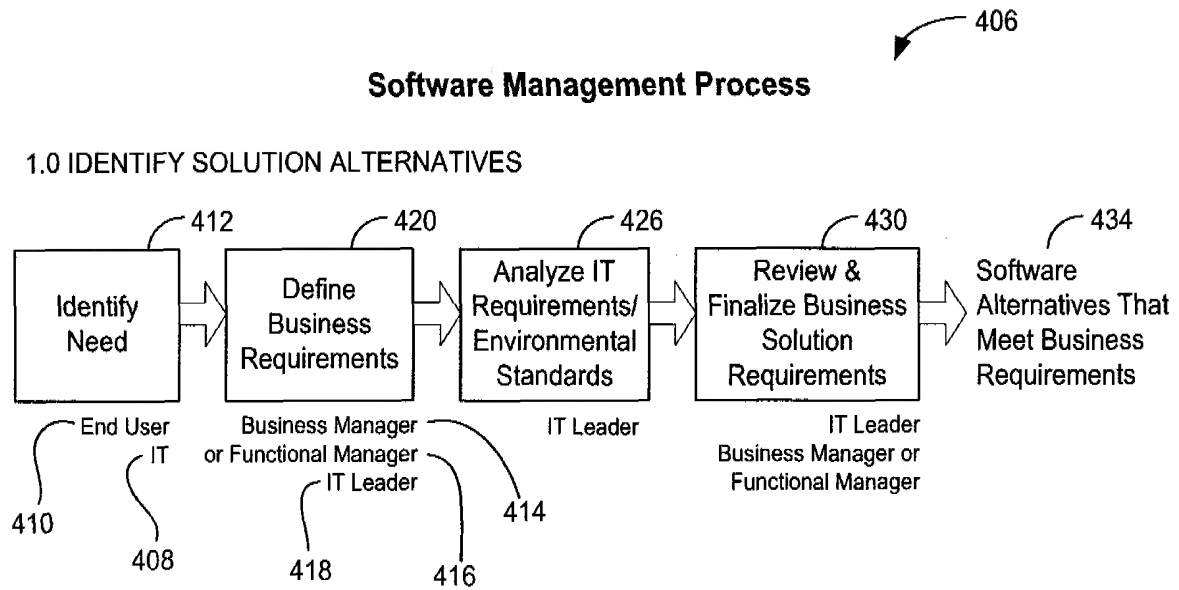


FIG. 8



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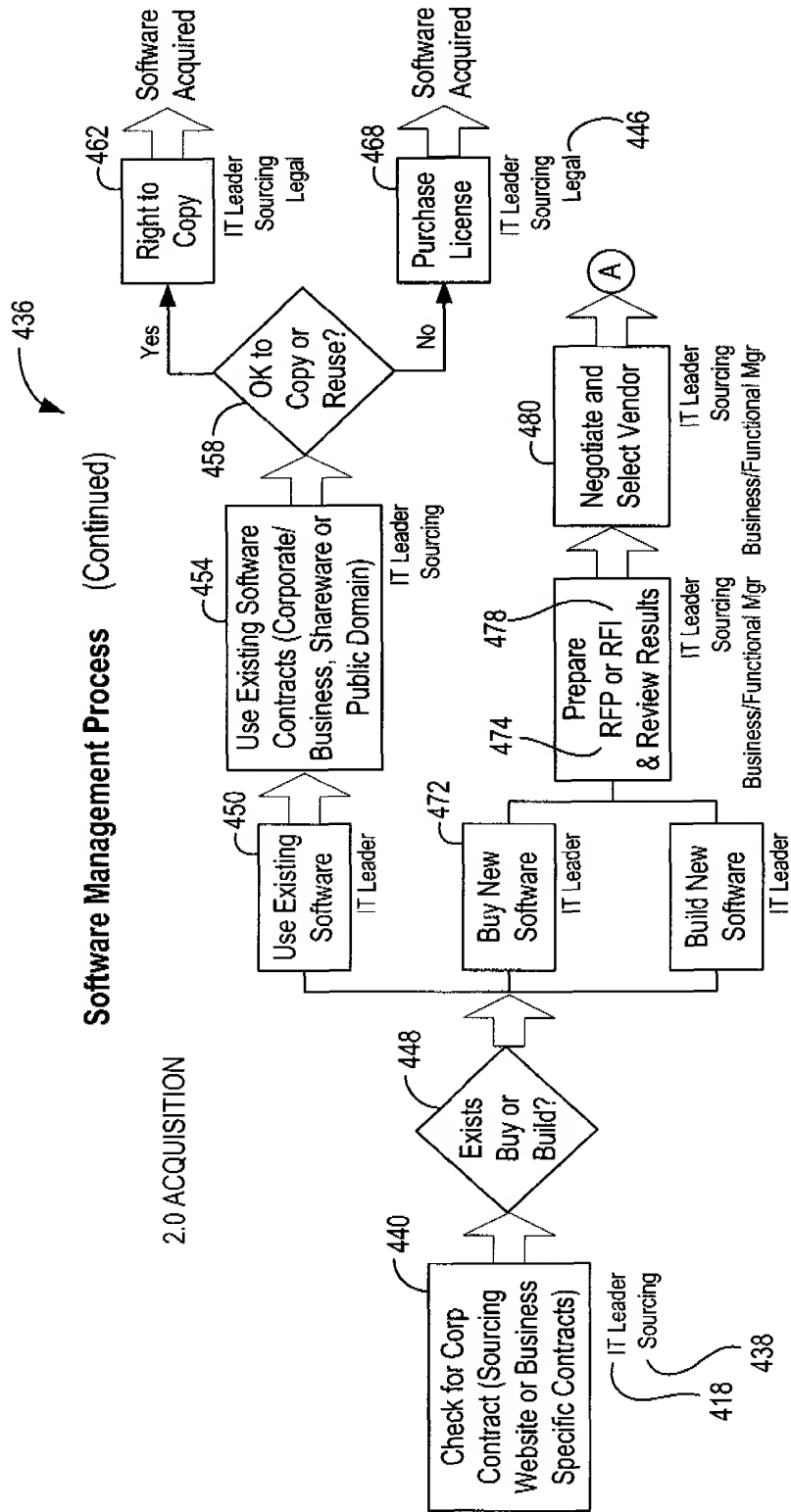


FIG. 9

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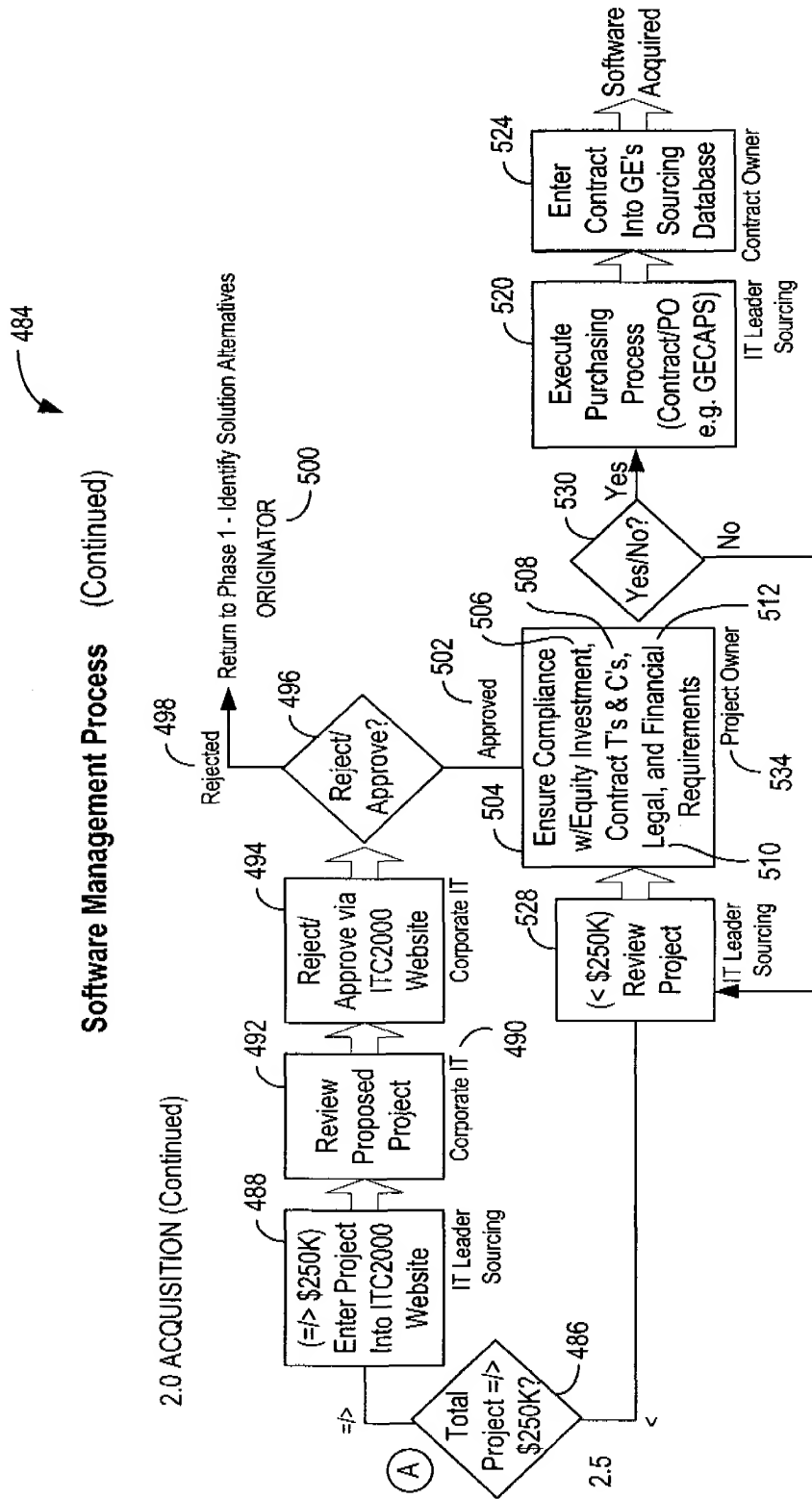


FIG. 10

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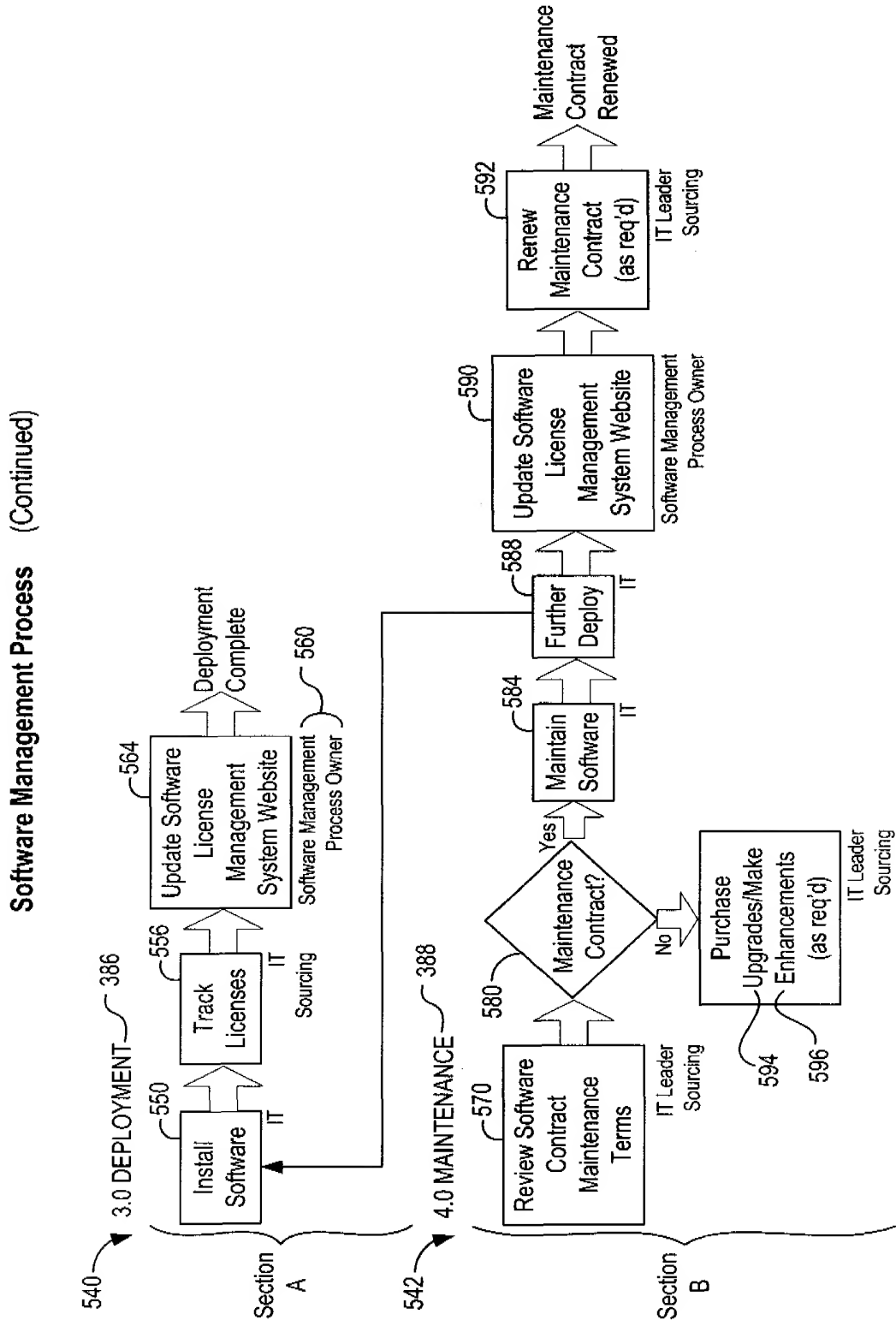


FIG. 11

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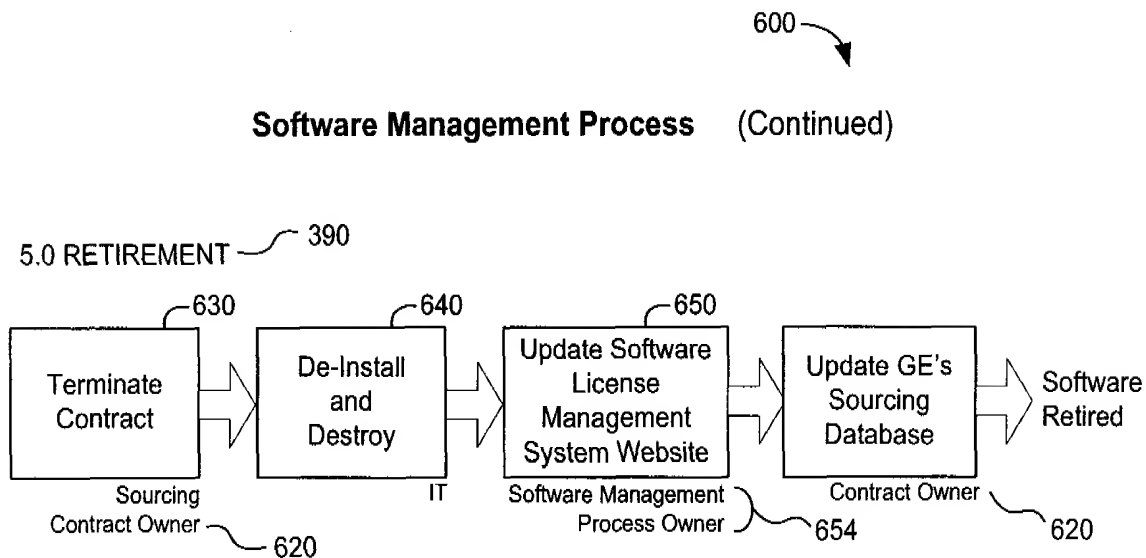


FIG. 12

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674	676	680	656—Software Management Business Self-Assessment	Self-Assessment (Y/N)	Independent Audit (Y/N)	Self-Assessment Comments	Audit Comments
Overall — 690	Business Name - Location - Person Completing Assessment (Title)						
712—1.	Does your organization have a local software management procedure? (In addition to the GECS Corporate procedure)						
714—2.	Is it documented? If not describe.						
716—3.	Is there a person or group that manages software licensing in your organization?						
720—4.	Is software compliance a documented part of the due diligence process for acquisitions?						
Identify Solution Alternative — 694							
724—5.	Does your budget process consider the total cost of ownership (i.e. initial purchase cost, maintenance, upgrades, training, support, possible future add-ons, etc.)?						
728—6.	Does documented end user needs analysis exist?						
730—7.	Is there a centralized, secure master list of approved software vendors?						
734—8.	Is software selection centralized within the organization, with whom?						
738—9.	Is there a process to leverage total buy (e.g., volume discounts, targeted purchases, etc.)?						
740—10.	Is there a process for software selection that ensures competitive bidding and evaluation based on predetermined performance criteria?						
744—11.	Is it documented? If not describe.						
Acquisition — 696							
12.	Is complete software license documentation, including invoices and other proofs of purchase, maintained?						
13.	Is this information organized and easily accessible?						
14.	Are upgrade and technical support documents maintained in an easily referenced manner?						
15.	Is there a structured approval process for software purchasing decisions? (i.e. Does someone in the IT organization other than the requestor approve software purchases?)						
End User Awareness — 698							
16.	Does new employee training include software management and software licensing compliance?						
17.	Is there an annual employee training plan which incorporates software management and software licensing compliance?						
18.	Do all employees sign an annual acknowledgement which includes software management and software licensing compliance?						
Deployment — 700							
19.	Are there guidelines detailing the authorization process for software distribution, installation, and transmission?						
20.	Is it documented? If not, describe.						
21.	Do you have a centralized distribution / installation procedure for software that is not part of Coreload?						
22.	Does your hardware installation procedure include updating the hardware inventory?						

FIG. 13

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674 GE Capital Services - Software Management Business Self-Assessment		676 Business Name	680 Location	680 Person Completing Assessment (Title)	658 Self-Assessment (Y/N)	662 Independent Audit (Y/N)	668 Self-Assessment Comments	670 Audit Comments
23.	Does your hardware installation procedure include updating the software inventory?							
24.	Does your software installation procedure include updating the software inventory?							
Monitoring & Evaluation — 704								
25.	Is there a current inventory of all computing hardware (e.g., servers, laptops & desktops, computers not in use, and home computers) using company-purchased SW?							
26.	Is there a current inventory of all the software installed on the computers mentioned above?							
27.	Do you differentiate between critical and non-critical software in the software inventory?							
28.	Have you identified and documented alternatives for critical software?							
29.	Are reconciliation's of installed software and licenses conducted?							
30.	Is there a periodic software compliance report, including metrics (i.e. license utilization rate), produced and reviewed with business senior management (i.e. CIO, General Counsel, CFO)?							
31.	Are automated tools used to monitor software compliance (e.g. restricting access after license threshold reached)?							
Maintenance — 708								
32.	Does your software upgrade procedure include updating the software inventory?							
33.	Does a procedure exist to change software license agreement names (i.e. user or legal entity name change)?							
Retirement — 710								
34.	Are there documented requirements for deletion/ de-installation before hardware disposal?							
35.	Does your disposal procedure include updating the software licensing inventory?							
36.	Do you have a schedule of software license expirations dates?							
684 Based On Your Answers To The Above Questions Your Software Management Sigma Value Is:								
		786	788	780	774	770	660	

FIG. 14

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SOFTWARE LICENSE MANAGEMENT SYSTEM  
SYSTEM FLOW DIAGRAM

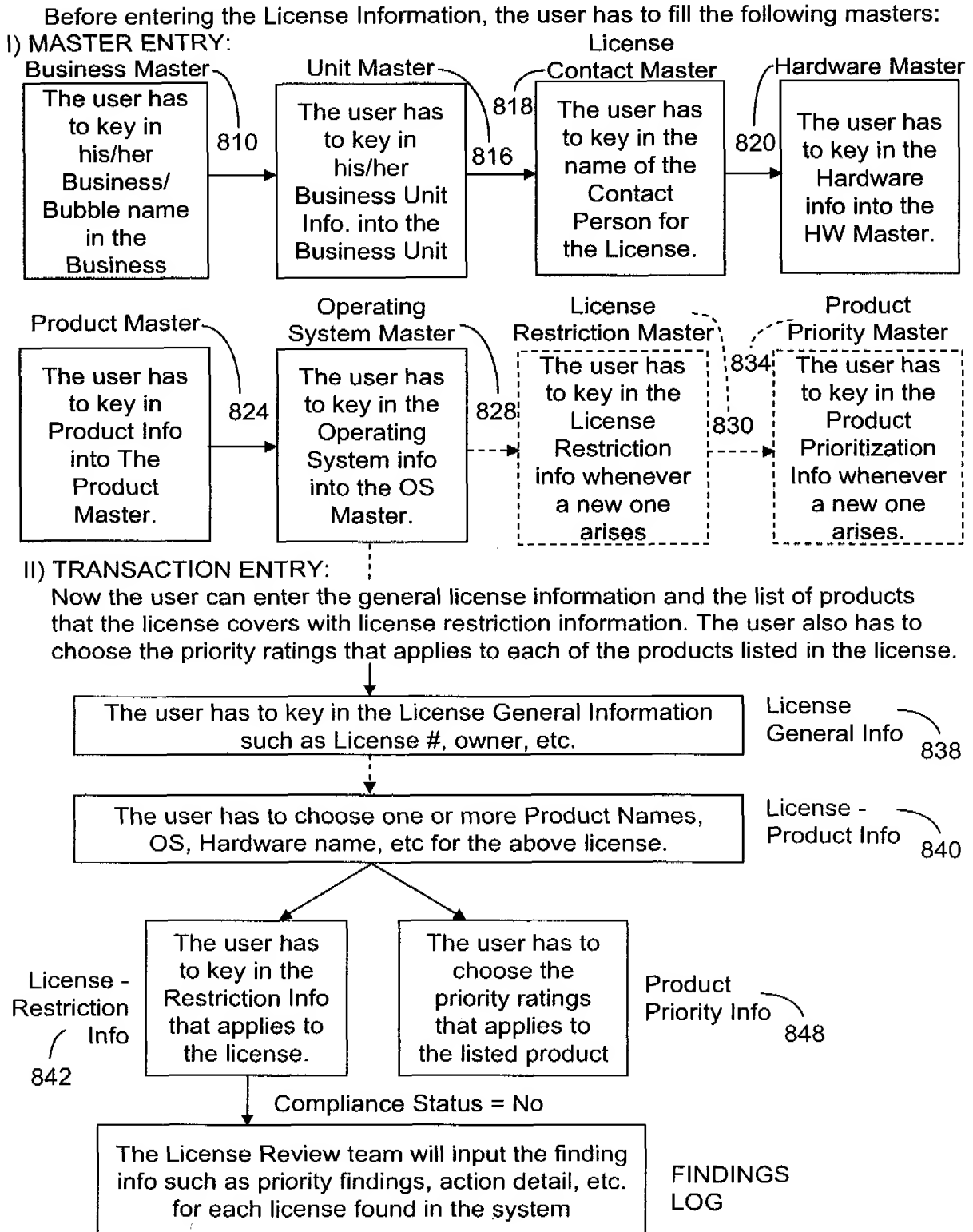


FIG. 15

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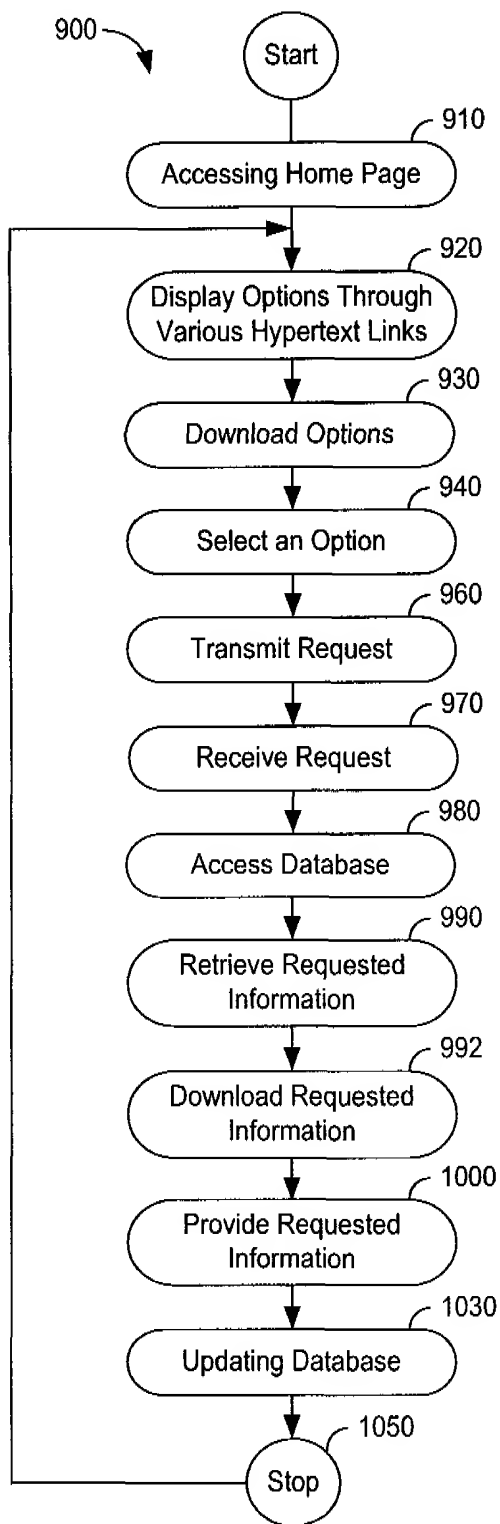


FIG. 16